



# Advent Capital Management

Locations: New York (HQ)

Industries: Diversified Financials

RISK LEVEL:



Lower Risk

## DESCRIPTION:

Advent Capital Management does not provide viewpoint protections for its employees but has not publicly terminated business relationships based on views or beliefs in the past 5 years. The company integrates ESG into all of its business practices and is a signatory of the United Nations Principles for Responsible Investing. However, it has not used its corporate funds for ideological purposes. For these reasons, Advent Capital Management receives a Medium Risk rating.

## Corporate Weaponization

**Has canceled customers, suppliers, or vendors due to their political views or religious beliefs OR corporately boycotts, divests, or sanctions regions, people groups, or industries. Medium Risk**

*A former employee alleged she was fired for sexual harassment and discrimination reasons in 2017 (1). Advent Capital Management integrates ESG into all of its business practices and into its investment processes. From its “ESG and Responsible Investment Philosophy” document: “Our approach aims to incorporate environmental, social, and governance (ESG) considerations into our investment process” (2). However, Advent Capital Management has not publicly canceled customers, suppliers, or vendors based on political views or religious beliefs.*

**Charitable giving (including employee matching programs) policies or practices discriminate against charitable organizations based on views or religious beliefs. N/A**

*Advent Capital Management does not publish charitable giving guidelines (1).*

**Employment policies fail to protect against viewpoint or other discrimination and/or are ideological in nature. Medium Risk**

*Advent Capital Management does not publish a nondiscrimination policy (1).*

## Corporate Governance and Public Policy

**Uses corporate reputation to support causes, organizations, or policies hostile to freedom of expression. Lower Risk**

Advent Capital Management is a UNPRI signatory, committed to carbon neutrality by 2050. Otherwise, it has not supported ideological causes or policies (1).

---

**Uses corporate funds to advance ideological causes, organizations, or policies hostile to freedom of expression. Lower Risk**

Advent Capital Management has not used corporate funds to advance ideological causes, organizations, or policies (1).

---

**Uses corporate political actions and/or financial contributions for ideological, non-business purposes. N/A**

Advent Capital Management does not operate a PAC or engage in lobbying at this time (1)(2)(3).

---

The contents of this website and related resources (collectively, the "materials") are general in nature and intended for educational use only. Nothing in the materials or any other 1792 Exchange content constitutes legal or professional advice for any specific matter. Anyone seeking legal or professional advice should obtain such advice from competent counsel. Since individual circumstances vary, anyone reviewing the materials and/or any other 1792 Exchange content is strongly urged to obtain specific legal and/or other professional advice before acting or refraining from acting based on such materials or other 1792 Exchange content. 1792 Exchange accepts no responsibility for any loss or damage, howsoever incurred, which may result from accessing or relying on the materials or any other 1792 Exchange content. Further, 1792 Exchange disclaims, to the fullest extent permitted by law, all liability for any acts or omissions based on the materials or any other 1792 Exchange content.

USER AGREEMENT: Company reports and other resources are intended only for the private educational use of the registered user. Republishing and distributing reports and resources is strictly prohibited. By downloading reports and resources, the user accepts these conditions.

© 1792 Exchange 2023