



# Advent Capital Management

Locations: New York (HQ)  
Industries: Diversified Financials

RISK LEVEL:



Lower Risk

## DESCRIPTION:

Advent Capital Management does not yield to political activism in shaping corporate governance, preventing initiatives that potentially alienate consumers, divide employees, and harm shareholders. The company elevates merit, excellence, and integrity ahead of race and identity-based policies. Advent Capital Management does not embrace corporate initiatives that redirect its central focus from business goals to partisan policies and divisive issues. This approach protects free exercise, free speech, and free enterprise.

## Corporate Weaponization

**Has canceled customers, suppliers, or vendors due to their political views or religious beliefs OR corporately boycotts, divests, or sanctions regions, people groups, or industries. Medium Risk**

*A former employee alleged she was fired for sexual harassment and discrimination reasons in 2017 (1). Advent Capital Management integrates ESG into all of its business practices and into its investment processes. From its “ESG and Responsible Investment Philosophy” document: “Our approach aims to incorporate environmental, social, and governance (ESG) considerations into our investment process” (2). However, Advent Capital Management has not publicly canceled customers, suppliers, or vendors based on political views or religious beliefs.*

**Charitable giving (including employee matching programs) policies or practices discriminate against charitable organizations based on views or religious beliefs. N/A**

*Advent Capital Management does not publish charitable giving guidelines (1).*

**Employment policies fail to protect against viewpoint or other discrimination and/or are ideological in nature. Medium Risk**

*Advent Capital Management does not publish a nondiscrimination policy (1).*

## Corporate Governance and Public Policy

**Uses corporate reputation to support causes, organizations, or policies hostile to freedom of expression. Lower Risk**

Advent Capital Management is a UNPRI signatory, committed to carbon neutrality by 2050. Otherwise, it has not supported ideological causes or policies (1).

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**Uses corporate funds to advance ideological causes, organizations, or policies hostile to freedom of expression. Lower Risk**

Advent Capital Management has not used corporate funds to advance ideological causes, organizations, or policies (1).

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**Uses corporate political actions and/or financial contributions for ideological, non-business purposes. N/A**

Advent Capital Management does not operate a PAC or engage in lobbying at this time (1)(2)(3).

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